

Securities Account Maintenance Form (Corporate Account)
證券戶口服務表單 (公司帳戶)

To: SinoPac Securities (Asia) Limited ("SPSAL")
 永豐金證券(亞洲)有限公司 (「永豐金」)

Please "✓" in the appropriate boxes. 注意:請於合適方格填上"✓"。

一、Client Information 客戶資料 (Please write in BLOCK letters 請用正楷填寫)	
Securities Account Number 戶口號碼	
Primary Account Name 客戶姓名 <small>(中文 Chinese) (英文 English)</small>	
二、Account Use Intention 戶口使用意願	
I/We would like to 本人/吾等希望	
<input type="checkbox"/> Continue to maintain the above Account.繼續使用上述戶口。 "Client Personal Information Change" and "Update of Client Financial Profile" (part 3 and 4 below) must be completed. 必需填妥"戶口基本資料更改"及"財務狀況更新"(以下第三點及第四點)	
<input type="checkbox"/> Suspend the above Account until my/our further notice to SPSAL. 暫停上述戶口·直至本人/吾等另行通知永豐金。	
<input type="checkbox"/> Close the above Account. I/We confirm that there is no outstanding balance and charges incurred in above account. 取消上述戶口。本人/吾等並確認上述戶口已沒有持有任何結存及未清繳之費用。	
三、Client Information Change 戶口基本資料更改	
<input type="checkbox"/> I / We would like to provide new information for SPSAL to update the information 本人/吾等願提供最新的基本資料供永豐金更新資料	
<input type="checkbox"/> Company Identification Supporting Document Number 公司證明文件號碼 <small>(If any change, please attach a copy of the new document. 如有更改·請附上新文件之副本)</small>	
<input type="checkbox"/> Business Address/Correspondence Address/Registered Office Address 辦事處地址/通訊地址/註冊地址*(*請刪去不適用者) <small>(If any change, please attach address proof of the new address. 如有修改請附上新地址之證明文件) :</small>	
<input type="checkbox"/> Contact Telephone Number 聯絡電話號碼	
<input type="checkbox"/> Contact Tel. 聯絡電話	<input type="checkbox"/> Office Tel. 公司電話
<input type="checkbox"/> Mobile Phone No. 手提電話	<input type="checkbox"/> Fax No. 傳真
<input type="checkbox"/> E-mail address 電郵地址 : _____	
<input type="checkbox"/> I/We agree to subscribe to the E-Statements Services to receive the following information by email 本人/吾等同意當使用電子結單服務收取以下資料	
<input type="checkbox"/> Trade Confirmation 交易核實資料書 <input type="checkbox"/> Daily Statement 日結單 <input type="checkbox"/> Monthly Statement 月結單 <input type="checkbox"/> Both Daily Statement and Monthly Statement 日結單及月結單 <input type="checkbox"/> Daily Statement, Monthly Statement and Trade Confirmation 日結、月結單及交易核實資料書	
<input type="checkbox"/> Bank Name & Bank Account Number 銀行名稱及銀行戶口號碼 (Please attach the bank account documents 請附上銀行戶口證明文件) : _____	
<input type="checkbox"/> Others (such as shareholder or director information) 其他(如股東或董事資料) (Please provide details of change 請述明更新資料) : _____	
<input type="checkbox"/> I / We confirm that my/our information remain unchanged and do not need to be modified/updated 本人/吾等確認個人資料無變並無需修改/更新。	

<Please continue filling out the back side 請翻至背面繼續填寫>

四、Update of Financial Profile and Anticipated Activities 財務狀況及預期戶口活動更新

Paid-up Capital(in HK\$) 繳足股本(港元) _____	Net Asset Value(in HK\$) 淨資產值(港元) _____	Major Assets 主要資產 _____
Net Profit(After Tax) in preceding year(in HK\$) 上一年除稅後純利(港元) _____	Year 年份 _____	

Source of origin of funds 資金來源 (choose one or more 可選多項)

- | | | |
|---|--|---|
| <input type="checkbox"/> Trading Profits 營業利潤 | <input type="checkbox"/> Manufacturing Profit 生產收益 | <input type="checkbox"/> Dividend/Interest 股息/利息收入 |
| <input type="checkbox"/> Service Income 服務收入 | <input type="checkbox"/> Rental Income 租金收入 | <input type="checkbox"/> Other 其他(Please specify 請述明) _____ |

Estimated annual income (in HK\$) 估計年度收入(港元)

- | | | |
|--|--|--|
| <input type="checkbox"/> Less than 至少 200,000 | <input type="checkbox"/> 200,001 – 500,000 | <input type="checkbox"/> 500,001 – 1,000,000 |
| <input type="checkbox"/> 1,000,001 – 2,000,000 | <input type="checkbox"/> 2,000,001 – 5,000,000 | <input type="checkbox"/> Over 多於 5,000,000 |

Approximate net value of assets (in HK\$) 概約資產淨值(港元)

- | | | |
|--|---|--|
| <input type="checkbox"/> Less than 至少 500,000 | <input type="checkbox"/> 500,001 – 1,000,000 | <input type="checkbox"/> 1,000,001 – 3,000,000 |
| <input type="checkbox"/> 3,000,001 – 8,000,000 | <input type="checkbox"/> 8,000,001 – 40,000,000 | <input type="checkbox"/> Over 多於 40,000,000 |

Anticipated nature of account activities 預期戶口活動性質 (choose one or more 可選多項)

- | | | | | | |
|--|---|------------------------------------|---------------------------------------|-------------------------------|---|
| <input type="checkbox"/> Listed equities | <input type="checkbox"/> Listed futures / options | <input type="checkbox"/> Placement | <input type="checkbox"/> OTC products | <input type="checkbox"/> Fund | <input type="checkbox"/> Other (Please specify 請述明) _____ |
|--|---|------------------------------------|---------------------------------------|-------------------------------|---|

Anticipated level of account activities 預期戶口投資金額

- | | | |
|--|---|--|
| <input type="checkbox"/> Less than 至少 500,000 | <input type="checkbox"/> 500,001 – 1,000,000 | <input type="checkbox"/> 1,000,001 – 3,000,000 |
| <input type="checkbox"/> 3,000,001 – 8,000,000 | <input type="checkbox"/> 8,000,001 – 40,000,000 | <input type="checkbox"/> Over 多於 40,000,000 |

五、Client Declarations 客戶聲明

I/We understand that the above instruction will in normal circumstances be effective within 5 working days from the date of submission of form to SPSAL.

本人/吾等明白在正常情況下上述指示於提交表單日起計五個工作天內生效。

○
S.V.

Signed as Individual as * Director(s)/Authorized Person(s)/ Shareholder(s) on behalf of the Company
以個人名義及 * 董事 / 授權人 / 股東 身份代表本公司簽署 Delete if not applicable 請將不適用者刪去

Name of Company 公司名稱 :

Date 日期 :