

Securities Account Maintenance Form (Individual / Joint Account)

證券戶口服務表單 (個人/聯名帳戶)

To: SinoPac Securities (Asia) Limited ("SPSAL")

永豐金證券(亞洲)有限公司 (「永豐金」)

Please "✓" in the appropriate boxes. 注意:請於合適方格填上"✓"。

一、Client Information 客戶資料 (Please write in BLOCK letters 請用正楷填寫)	
Securities Account Number 戶口號碼	
Primary Account Name 客戶姓名 (中文 Chinese) (英文 English)	Joint Account Holder's Name 聯名戶客戶姓名(如適用) (中文 Chinese) (英文 English)
二、Account Use Intention 戶口使用意願	
I/We would like to 本人/吾等希望	
<input type="checkbox"/> Continue to maintain the above Account.繼續使用上述戶口。 "Client Personal Information Change" and "Update of Client Financial Profile" (part 3 and 4 below) must be completed. 必需填妥"戶口基本資料更改"及"財務狀況更新"(以下第三點及第四點)	
<input type="checkbox"/> Suspend the above Account until my/our further notice to SPSAL. 暫停上述戶口，直至本人/吾等另行通知永豐金。	
<input type="checkbox"/> Close the above Account. I/We confirm that there is no outstanding balance and charges incurred in above account. 取消上述戶口。本人/吾等並確認上述戶口已沒有持有任何結存及未清繳之費用。	
三、Client Personal Information Change 戶口基本資料更改	
<input type="checkbox"/> I / We would like to provide new personal information for SPSAL to update the information 本人/吾等願提供最新的基本資料供永豐金更新資料	
<input type="checkbox"/> Client Identification Supporting Document Number身分證明文件號碼 (If any change, please attach a copy of the new document. 如有更改，請附上新文件之副本) _____	
<input type="checkbox"/> Residential Address/Correspondence Address住址/通訊地址*(*請刪去不適用者) (If any change, please attach address proof of the new address. 如有修改請附上新地址之證明文件) : _____	
<input type="checkbox"/> Contact Telephone Number 聯絡電話號碼	
<input type="checkbox"/> Residential Tel. 住宅電話	<input type="checkbox"/> Office Tel. 公司電話
<input type="checkbox"/> Mobile Phone No. 手提電話	<input type="checkbox"/> Fax No. 傳真
<input type="checkbox"/> E-mail address 電郵地址 : _____	
<input type="checkbox"/> I/We agree to subscribe to the E-Statements Services to receive the following information by email本人/吾等同意當使用電子結單服務收取以下資料	
<input type="checkbox"/> Trade Confirmation交易核實資料書 <input type="checkbox"/> Daily Statement日結單 <input type="checkbox"/> Monthly Statement月結單 <input type="checkbox"/> Both Daily Statement and Monthly Statement日結單及月結單 <input type="checkbox"/> Daily Statement, Monthly Statement and Trade Confirmation日結、月結單及交易核實資料書	
<input type="checkbox"/> Bank Name & Bank Account Number 銀行名稱及銀行戶口號碼 (Please attach the bank account documents請附上銀行戶口證明文件) : _____	
<input type="checkbox"/> Others 其他 (Details請述明) : _____	
<input type="checkbox"/> I / We confirm that my/our personal information remain unchanged and do not need to be modified/updated 本人/吾等確認個人資料無變並無需修改/更新。	

<Please continue filling out the back side 請翻至背面繼續填寫>

四、Update of Financial Profile and Anticipated Activities 財務狀況及預期戶口活動更新

(if no employment, must describe source of funds 如非受僱，必須填寫資金來源)

Current Employment Status 職業現況：

Employed 受僱
 Self-employed 自僱
 No-employment 非自僱
 Student 學生
 Retired 退休
 Other(Please specify) 其他(請述明)_____

Name of Employer 僱主名稱： _____

(or if self-employed, name of Self-Owned Business 若自僱，請填上身為東主的業務名稱)

Occupation 職業： _____ **Position 職位：** _____ **Year(s) Employed 任職年期：** _____

Source of origin of funds 資金來源 (choose one or more 可選多項)

Salary & Commission 薪金及佣金
 Business Profit 業務溢利
 Rent 租金
 Dividend/Interest 股息/利息
 Other 其他(Please specify 請述明)_____

Estimated annual income (in HK\$)估計年度收入(港元)

Less than 至少 200,000
 200,001 – 500,000
 500,001 – 1,000,000
 1,000,001 – 2,000,000
 2,000,001 – 5,000,000
 Over 多於 5,000,000

Approximate net value of assets (in HK\$)概約資產淨值(港元)

Less than 至少 500,000
 500,001 – 1,000,000
 1,000,001 – 3,000,000
 3,000,001 – 8,000,000
 8,000,001 – 40,000,000
 Over 多於 40,000,000

Anticipated nature of account activities 預期戶口活動性質 (choose one or more 可選多項)

Listed equities
 Listed futures / options
 Placement
 OTC Products
 Fund
 Other (Please specify 請述明)_____

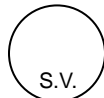
Anticipated level of account activities 預期戶口投資金額

Less than 至少 500,000
 500,001 – 1,000,000
 1,000,001 – 3,000,000
 3,000,001 – 8,000,000
 8,000,001 – 40,000,000
 Over 多於 40,000,000

五、Client Declarations 客戶聲明

I/We understand that the above instruction will in normal circumstances be effective within 5 working days from the date of submission of form to SPSAL.

本人/吾等明白在正常情況下上述指示於提交表單日起計五個工作天內生效。

_____  S.V.

Primary Account Holder's/ Authorized Signatory's Signature
戶口持有人簽署

Name 姓名：

Date 日期：

_____  S.V.

Joint Account Holder's/Authorized Signatory's Signature (if applicable)
聯名戶口持有人簽署(如適用)

Name 姓名：

Date 日期：